

Applicant or Patentee: Shanghai Second Medical University
Serial or Patent No.: _____
Filed or Issued: _____
For: A HUMAN HSG III GENE

Attorney's Docket No.: CPA-100US

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(d) and 1.27(c) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Shanghai Second Medical University

ADDRESS OF CONCERN 197 Ruijin Road II, Shanghai 200025, China

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled A HUMAN HSG III GENE by inventor(s) Jialun Chan, Gang Fu and Huaidong Song described in

- ☒ the specification filed herewith.
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention availing to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Guangfeng Fan

TITLE OF PERSON OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 197 Ruijin Road II, Shanghai 200025, China

SIGNATURE

Fan Guang-feng

DATE 19 May 2000

Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A HUMAN HSG III GENE.

the specification of which is attached hereto unless the following box is checked:

☐

was filed on _____ as

United States Application Number or PCT International Application Number _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

PCT/CN98/00199

September 22, 1998

PENDING

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Paul F. Prestia	Reg. No. <u>23,031</u>	Lawrence E. Ashery	Reg. No. <u>34,515</u>	Mark J. Marcelli	Reg. No. <u>36,593</u>
Allan Ratner	Reg. No. <u>19,717</u>	Christopher R. Lewis	Reg. No. <u>35,201</u>	Joshua L. Cohen	Reg. No. <u>38,040</u>
Andrew L. Ney	Reg. No. <u>20,300</u>	Robert L. Andersen	Reg. No. <u>25,771</u>	Jack J. Jankovitz	Reg. No. <u>42,690</u>
Kenneth N. Nigon	Reg. No. <u>31,549</u>	Daniel N. Calder	Reg. No. <u>27,424</u>	Jonathan H. Spadt	Reg. No. <u>45,122</u>
Kevin R. Casey	Reg. No. <u>32,117</u>	Louis W. Beardell, Jr.	Reg. No. <u>40,506</u>	Christopher I. Halliday	Reg. No. <u>42,621</u>
Benjamin E. Leace	Reg. No. <u>33,412</u>	Jacques L. Elkowicz	Reg. No. <u>41,738</u>		
James C. Simmons	Reg. No. <u>24,842</u>	Eric A. Dichler	Reg. No. <u>41,708</u>		

Address all correspondence to: _____

Ratner & Prestia, Suite 301, One Westlakes, Berwyn, P.O. Box 980, Valley Forge, PA 19482-0980

Address all telephone calls to: _____ at (610) 407-0700.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) Jialun Chen

Inventor's signature Jialun Chen

Date 19 May. 2000

Residence Room 1501, Building 9, 1 Jian De Road, Shanghai 200025, China

CNX

Citizenship China

Post Office Address Room 1501, Building 9, 1 Jian De Road

Shanghai 200025, China

Full name of second joint inventor, if any (given name, family name) Gang Fu

Second inventor's signature Gang Fu

Date 19 May. 2000

Residence Room 104, 43 Long Shan Xin Cun, Shanghai 200030, China

CNX

Citizenship China

Post Office Address Room 104, 43 Long Shan Xin Cun

Shanghai 200030 China



Additional inventors are being named on separately numbered sheets attached hereto.

3-00
Full name of third joint inventor, if any (given name, family name) Huaidong Song

Third inventor's signature Huaidong Song Date 17 May 2000

Residence Jia Bin Building, Room 405, 227 Chong Qing Road(s), Shanghai 200025, China

Citizenship China

Post Office Address Jia Bin Building, Room 405, 227 Chong Qing Road(s)
Shanghai 200025, China

Full name of fourth joint inventor, if any (given name, family name) _____

Fourth inventor's signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full name of fifth joint inventor, if any (given name, family name) _____

Fifth inventor's signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full name of sixth joint inventor, if any (given name, family name) _____

Sixth inventor's signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full name of seventh joint inventor, if any (given name, family name) _____

Seventh inventor's signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____